ΑD	)		

Award Number: W81XWH-10-1-0914

TITLE: Shock Wave-Stimulated Periosteum for Cartilage Repair

PRINCIPAL INVESTIGATOR: Myron Spector, Ph.D.

Hu-Ping Hsu, M.D.

CONTRACTING ORGANIZATION: Boston VA Research Institute

Boston, MA 02130

REPORT DATE: April 2012

TYPE OF REPORT: Annual

PREPARED FOR: U.S. Army Medical Research and Materiel Command

Fort Detrick, Maryland 21702-5012

DISTRIBUTION STATEMENT: Approved for Public Release;

**Distribution Unlimited** 

The views, opinions and/or findings contained in this report are those of the author(s) and should not be construed as an official Department of the Army position, policy or decision unless so designated by other documentation.

# Form Approved REPORT DOCUMENTATION PAGE OMB No. 0704-0188 Public reporting burden for this collection of information is estimated to average 1 hour per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing this collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden to Department of Defense, Washington Headquarters Services, Directorate for Information Operations and Reports (0704-0188), 1215 Jefferson Davis Highway, Suite 1204, Arlington, VA 22202-4302. Respondents should be aware that notwithstanding any other provision of law, no person shall be subject to any penalty for failing to comply with a collection of information if it does not display a currently valid OMB control number. PLEASE DO NOT RETURN YOUR FORM TO THE ABOVE ADDRESS. 1. REPORT DATE 2. REPORT TYPE 3. DATES COVERED April 2012 Annual 30 September 2011 - 29 March 2012 4. TITLE AND SUBTITLE 5a. CONTRACT NUMBER 5b. GRANT NUMBER Shock Wave-Stimulated Periosteum for Cartilage Repair W81XWH-10-1-0914 **5c. PROGRAM ELEMENT NUMBER** 6. AUTHOR(S) 5d. PROJECT NUMBER 5e. TASK NUMBER Myron Spector, Ph.D. Hu-Ping Hsu, M.D. 5f. WORK UNIT NUMBER E-Mail: mspector@rics.bwh.harvard.edu 7. PERFORMING ORGANIZATION NAME(S) AND ADDRESS(ES) 8. PERFORMING ORGANIZATION REPORT NUMBER Boston VA Research Institute Boston, MA 02130 9. SPONSORING / MONITORING AGENCY NAME(S) AND ADDRESS(ES) 10. SPONSOR/MONITOR'S ACRONYM(S) U.S. Army Medical Research and Materiel Command Fort Detrick, Maryland 21702-5012 11. SPONSOR/MONITOR'S REPORT NUMBER(S) 12. DISTRIBUTION / AVAILABILITY STATEMENT Approved for Public Release; Distribution Unlimited 13. SUPPLEMENTARY NOTES 14. ABSTRACT The primary objective of this project is to determine if extracorporeal shock wave (ESW)-stimulated periosteum improves cartilage repair when it is used as an autograft to fill a defect in the articular surface of goats. A miniature fiber optic pressure sensor will be inserted into the tibial periosteum of 6 animals to measure the actual shock waveform in the tissue for two ESW doses (energy densities). In 12 goats, tibial periosteum stimulated by one of the 2 doses of ESWs (n=6) will be harvested, 4 days post-treatment, as an autograft for implantation into one 1 cm2 defect surgically produced in the trochlear groove of the knee joint of the same goat. Non-ESW-treated periosteum will serve as the control group (n=6). All animals will be sacrificed after 16 weeks, and the reparative tissue will be quantified histomorphometrically by determining the areal percentage of selected tissues in the original cartilage defect area. 15. SUBJECT TERMS

#### 16. SECURITY CLASSIFICATION OF: 17. LIMITATION 18. NUMBER 19a. NAME OF RESPONSIBLE PERSON OF ABSTRACT **OF PAGES USAMRMC** 19b. TELEPHONE NUMBER (include area a. REPORT b. ABSTRACT c. THIS PAGE code) U U UU 7

Shock waves, cartilage, goat

# **Table of Contents**

Introduction	4
Body	4
Key Research Accomplishments	6
Reportable Outcomes	.6
Conclusions	6
References	.6
Appendices	N/A

#### I. INTRODUCTION

The primary objective of this project is to determine if extracorporeal shock wave (ESW)-stimulated periosteum improves cartilage repair when it is used as an autograft to fill a defect in the articular surface of goats. Periosteum, which contains cells with chondrogenic potential, has been investigated as an autograft for cartilage repair procedures. However, this approach is limited because the cambium layer of the periosteum is normally only 2-5 cells thick, and some of these cells are lost during the harvest procedure. We have recently demonstrated that extracorporeal shock waves, at doses approved by FDA for treatment of certain disorders, can stimulate up to a 10-fold increase in the thickness of rat periosteum after only 4 days.

This protocol deals with the therapeutic use of shock waves. Shock waves are pressure waves of very short duration (a few microseconds). The initial peak compressive wave is followed by a lower amplitude tensile wave. The ESWs can be produced by apparatus that focus the waves at a certain location in the body or produce waves which radiate from the shock wave head. Normally treatments apply up to 3000 shocks in a session. The "dose" of shock waves is measured in energy density. For our studies the energy densities to be used will be: 0.15 mJ/mm2 ("low") and 0.45 mJ/mm2 ("high"). This range is approved by FDA for other indications than the one we will be investigating, but it demonstrates that shock waves in this dose range have an acceptable safety profile.

During this period of the project we investigated: 1) the type of shock waves (focused versus radial); 2) the specific locations at which we administer the shock waves, because the periosteum varies with respect to its thickness at various anatomic locations; and 3) the dose of shock waves (*i.e.*, energy density and number of shocks).

#### II. BODY

During the period since the last Annual Report, research focused on:

- 1. the dose response of goat periosteum to unfocused (radial) ESWs;
- 2. the effects of periosteum at 3 sites to ESWs.

Unfocused ESWs (Fig. 1) were investigated because of their potentially more desirable safety profile when compared to focused ESWs. Focused ESWs expose tissues around the target site to what may be unwanted exposure to ESWs. Table 1 shows the doses of unfocused shock waves employed. The ESWs were applied to the periosteum in the proximal medial aspect of the tibia and to the mandible and maxilla.

Iahla I	I locae of	t untaanca	1 H S W/C Amr	NAMAH 111 1	tha nilat a	vnarimant n-l	
1 (11)11(-1	コンいろいろ いけ		1 1 2 3 VV 5 C/1111	,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,		experiment, n=1	

Goat	Dose mJ/mm2 x no. of shocks	
1	0.4 X 3000	
2	0.4 X 2000	
3	0.4 X 1000	
4	0.3 X 1000	
5	0.18 X 1000	
6	0.1 X 1000	



Fig. 1. Unfocused shock waves were applied to the skin overlying the periosteum of the proximal tibia.

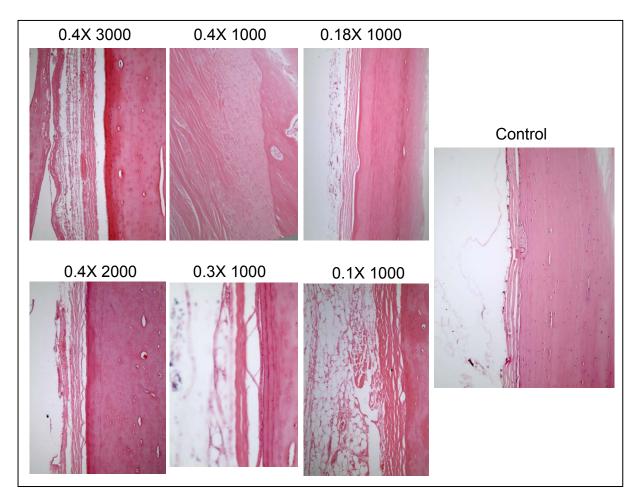


Fig. 2. Histology of the tibial periosteum in the animals treated with different doses of unfocused ESWs.

All 6 goats were sacrificed 4 days after application of the ESWs. The tissues were fixed in formalin and decalcified and embedded in paraffin. The histological processing is currently still in progress. Histological evaluation of the tibial periosteum has begun (Fig. 2). The inflammation was graded based on the following scale:

- 0: No inflammation
- 1: 1 or more small (barely visible through 10x objective) inflammatory cell infiltrates
- 2: 1 or more medium (visible through 10x objective) inflammatory cell infiltrates
- 3: 1 or more large (immediately visible in 10x objective) inflammatory cell infiltrates
- 4: Tissue necrosis and/or granulation tissue formation
- 5: Abscess

Hemorrhage was graded with the following scores:

- 0: No hemorrhage
- 1: 1 or more small (barely visible through 10x objective) hematomas
- 2: 1-3 or more medium (visible through 10x objective) hematomas
- 3: >3 or more medium (immediately visible in 10x objective) hematomas
- 4: 1 or more large (immediately visible in 10x objective) hematomas

The results obtained to date are shown in Table 2

Table 2. Scores for the degree of inflammation and hemorrhage.

Dose	Inflam. Score	Hemor.
		Score
0.4X 3000	4	4
0.4 X2000	2	4
0.4X 1000	3	4
0.3X 1000	3	3
0.18X1000	2	2
0.1 X 1000	2	4

### III. KEY RESEARCH ACCOMPLISHMENTS

• The effects of unfocused ESWs of various doses on periosteum at several locations in the goat has begun to be evaluated.

#### IV. REPORTABLE OUTCOMES

None

## V. CONCLUSIONS

These results demonstrate the range of histological changes that can be induced by unfocused ESWs.

#### VI. REFERENCES

None

# VII. APPENDICES

None